



FDMS Version 4.12 Release Notes

Release Date: July 16, 2016





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Release Summary

The FDMS 4.12 Release includes enhancements to the Advanced Search functionality, Search Results, Deduplication Tree, and the Docket and Document Details. The Self-Registration process is now available solely through FDMS 4. Additional enhancements, defects, and technical debt were addressed as part of the release. Some of the key features include:

- Advanced Search Search Criteria and Search Results
- Transfer Documents Between Agencies
- Docket and Document Details Enhancements
- Deduplication Pivot Folder and Document
- User Profile



Advanced Search

Search Criteria

Generic Field

The Advanced Docket Search includes a multi-select search criteria option for the Generic field. The search field is available for any Agency that has the Generic field enabled. The label matches the display label in the Agency Configuration.

Find: O Document O Docket with...

Find: O Document O Docket with...

text for all the words: In Title, Abstract, short Title fields

Title; Abstract, short Title Modify

has an ID number: In Docket ID, Legacy ID fields

date(a): O Date Range O Specific Date Modified Date

From: To:

Type: All Types

Subtype: All Subtypes

Location: All Location Values Modify

Release Date: All Release Date Values

RNN: on Rulemaking Dockets

Keyword: opency assigned keywords on Dockets

Pre-EDOCKET ID:

Note: Agency Administrators can enable the *Generic* field on the Docket level. The *Generic* field values become part of the Docket ID for all Dockets in the Agency. Users select the value during Docket creation. An Agency that does not include the *Generic* field has a standard Docket ID configuration.

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Program Field

The Advanced Docket Search includes a multi-select search criteria option for the Program field. The search field is available for any Agency that has the Program field enabled. The label matches the display label in the Agency Configuration.

Note: Agency Administrators can enable the *Program* field on the Docket level by including custom values to the list.

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Left Panel Results

Docket Results

The RIN field is included in the left panel Docket results for the Inbox, FR Feed, My Favorites, Recently Viewed, and Search Results pages. The Basic option in the Save Search Results on the Docket level now includes the RIN field.

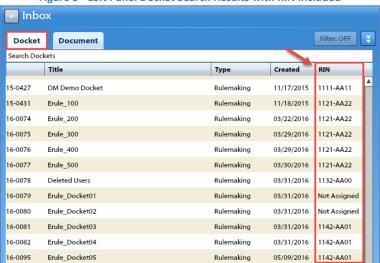


Figure 3 - Left Panel Docket Search Results with RIN Included

Document Results

The Document Subtype field is included in the left panel Document results for the Inbox, FR Feed, My Favorites, Recently Viewed, and Search Results pages. The Basic option in the Save Search Results on the Document level now includes the Document Subtype field.



Figure 4 – Left Panel Document Search Results with Document Subtype Included

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Save Search Results

The Save Search Results function on the Docket level now includes a Full Export option. The Full Export includes all metadata fields associated with the Docket and is separated into a Rulemaking Tab and a Nonrulemaking Tab. The email address from the User Profile is populated by default, however, the user can change the destination email address to any valid email address.

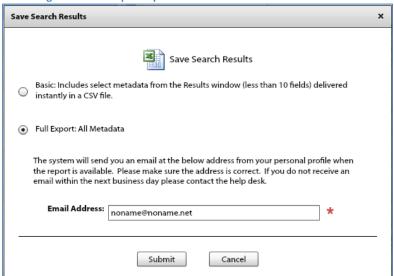


Figure 5 – Full Export Option on Save Search Results for Dockets

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Transfer Documents between Agencies

Agency Administrators have the ability to transfer Documents between participating agencies. The following business rules must be met in order for a Document to be transferred:

- In order to transfer a Document to another Agency, the Agency Administrator must have write permissions to the Docket in the target Agency.
- Posted Documents can be transferred from any Docket, including from the FRDOC. When a transferring a posted Document, the original Document will be withdrawn and a copy of the Document will be included the target Docket.
- Documents cannot be transferred to the FRDOC in the target Agency.
- When transferring a Public Submission, the user must select a *Comment On Document*.

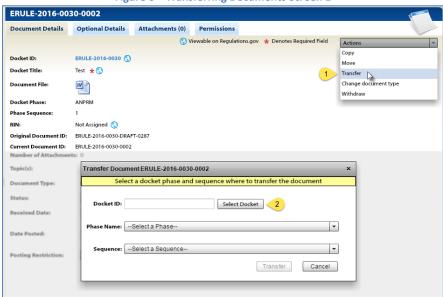
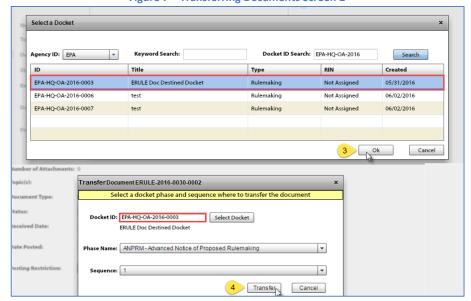


Figure 6 – Transferring Documents Screen 1

Figure 7 - Transferring Documents Screen 2

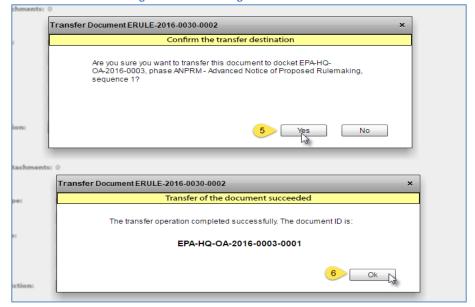




Select a Docket Docket ID Search: EPA-HQ-OA-2016 Agency ID: EPA Title Туре Created EPA-HQ-OA-2016-0003 ERULE Doc Destined Docket 05/31/2016 EPA-HQ-OA-2016-0006 Rulemaking Not Assigned 06/02/2016 EPA-HQ-OA-2016-0007 3 Ok Select a docket phase and sequence where to transfer the document ERULE Doc Destined Docket se Name: ANPRM - Advanced Notice of Proposed Rulemaking 4 Transfer

Figure 8 – Transferring Documents Screen 3

Figure 9 - Transferring Documents Screen 4



Note: Agencies participating in Records Management can transfer Documents that are not declared Records. In addition, Documents in an Agency participating in Records Management can only be transferred between Dockets without Retention applied.

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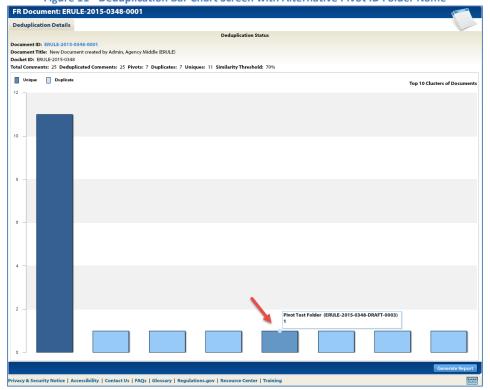
Deduplication

Alternative Pivot ID Folder Name

Users with write permissions can rename a Pivot folder. The Alternative Pivot ID Folder Name is displayed in the Deduplication Tree and the Deduplication Details. When the user hovers over the associated column in the Deduplication bar chart, the alternative name is displayed there as well.

Figure 10 - Alternative Pivot ID Folder Name Option FR Document: ERULE-2015-0348-0001 ← Duplicates Tree **Deduplication Details** FR Document: ERULE-2015-0348-0001 Pivot Document Filter Duplicates by Keyword Search Clear Document ID: ERULE-2015-0348-DRAFT-0003 ▼ Pivot Documents Document Title: NASA ▶ 🗀 Pivot Test Folder (1) Alternative Pivot ID Folder Name: Pivot Test Folder Update Reset ► Test Folder Rename (1) Example Text unique to pivot document <u>Example Text</u> unique to duplicate document ► 🗀 ERULE-2015-0348-DRAFT-0005 (1) [COMMENT] ► C ERULE-2015-0348-DRAFT-0006 (1) See attached [ATTACHMENT] ► 🗀 ERULE-2015-0348-DRAFT-0007 (1) Regulations.gov Thursday, May 21, 2015 Unified Agenda ► 🗀 ERULE-2015-0348-DRAFT-0008 (1) ► C ERULE-2015-0348-0003 (1) ▶ 🗀 Unique Documents (11) NATIONAL AFRONAUTICS AND SPACE ADMINISTRATION 14 CFR Ch. V

Figure 11 - Deduplication Bar Chart Screen with Alternative Pivot ID Folder Name





Users can reset the *Alternative Pivot ID Folder Name* back to the original Document ID via the *Reset* button to the right of the field. A tool tip provides users with an expanded description of the *Reset* button.

Figure 12 – Reset from Alternative Pivot ID Folder Name to Original Document ID



Pivot Bar Chart

Pivot folders with the same name show as separate columns in the bar chart. In order to make the two distinguishable, the user will see the Pivot Document ID in addition to the alternative name along with the number of associated Documents.

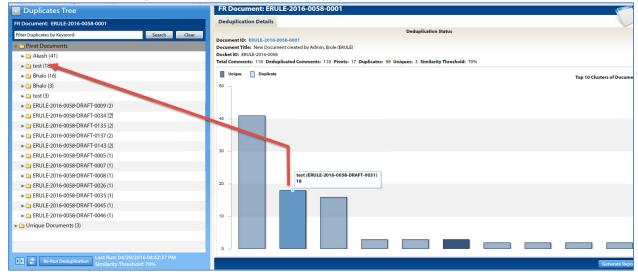


Figure 13 - Pivot Bar Chart Screen Display of Folders with the Same Name

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Moving Document back to Pivot Folder

Documents that were moved from a Pivot folder to a Unique folder can be moved back to the original Pivot folder via the *Move Back to Pivot* button.

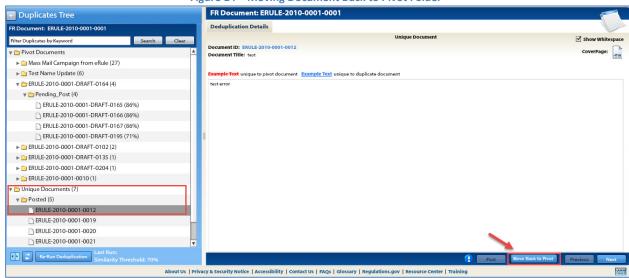


Figure 14 – Moving Document Back to Pivot Folder

Docket

Right Panel Document List

On the right panel Document list, the Document *Title* column can be expanded and the text will wrap to multiple lines allowing users to easily read the entire Document Title.

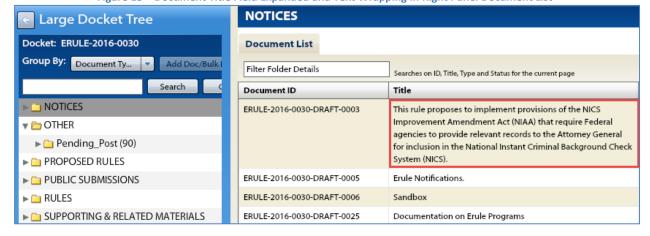


Figure 15 – Document Title Field Expanded and Text Wrapping in Right Panel Document List



Document Details

Page Count

The *Page Count* for Public Submissions has a default value of 1 upon creation, day forward. The field is editable for any user with write permissions to the Document. The default value for all other Document Types remains 0.

Figure 16 – Page Count Field ERULE-2010-0001-0013 Document Details Optional Details Submitter Info Attachments (2) Permissions ⟨S Viewable on Regulations.gov ★ Denotes Required Field Actions Current Assignee: Admin, Erule (ERULE) Admin, ERULE M (ERULE) Status Set By: Comment Start Date: **(3)** 01/25/2012 Comment Due Date: **(**) Additional Field 1: **(**) Tracking Number: 80fa44bf (Page Count: Accept Comments after the
Yes
No
No
Comment Due Date: ★ Favorites Follow Up

Page Count Sum

The Document Details tab contains a new read only Document metadata field called *Total Page Count Including Attachments*. The field sums the Page Count value from the primary Document as well as from any Attachments. The value is calculated each time a user access the Document Details tab.

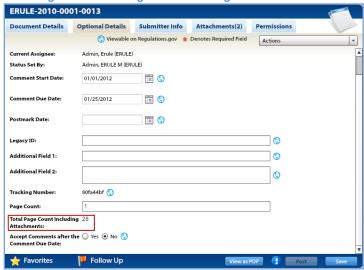


Figure 17 – Total Page Count Including Attachments Field



Displaying Draft Document IDs after Posting (CCB 247)

The *Original Document ID* and *Current Document ID* values are displayed on the Document Details page. CCB 247 requested a way to view the Original Document ID after posting.

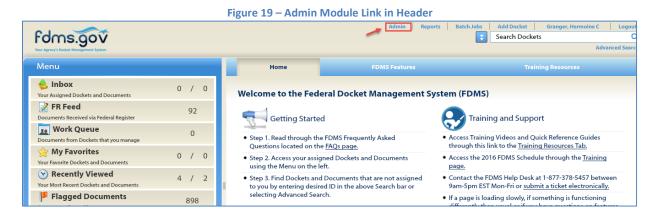
ERULE-2010-0014-0008 Document Details Optional Details Attachments (0) Permissions 🔇 Viewable on Regulations.gov 🔺 Denotes Required Field Actions Docket ID: ERULE-2010-0014 (3) Docket Title: test1 🛨 🔇 Docket Phase: Direct Final Rule Phase Sequence: Original Document ID: ERULE-2010-0014-DRAFT-0023 Current Document ID: FRULE-2010-0014-0008 Title: New Document created by Admin, ERULE Middle (ERULE) * (3) Number of Attachments: 0 Subject: Abstract: Author(s): Edit/Add 🔇 Topic(s): Follow Up **Favorites** View as PDF

Figure 18 - Original Document ID and Current Document ID Fields on Document Details

Header Navigation

Admin Link

An *Admin* link is available in the header for users with the role of Agency Administrator. Clicking the link opens a new window for the FDMS Admin Module login screen where the Agency Administrator must reenter their FDMS login credentials in order to gain access to the application.





User Profile

The *User Profile* link in the header was removed and the User Name is now a link which brings the user to the *Profile Menu* page.

Figure 20 - User Name Link in Header fdms.gov 🐁 Inbox Welcome to the Federal Docket Management System (FDMS) FR Feed Training and Support Getting Started Work Queue Step 1. Read through the FDMS Frequently Asked Questions located on the FAQs page. Access Training Videos and Quick Reference Guides through this link to the Training Resources Tab. My Favorites Access the 2016 FDMS Schedule through the <u>Training page</u>. Contact the FDMS Help Desk at 1-877-378-5457 between 9am-5pm EST Mon-Fri or <u>submit a ticket electronically.</u> Recently Viewed Step 3. Find Dockets and Documents that are not assigned to you by entering desired ID in the above Search bar or selecting Advanced Search. If a page is loading slowly, if something is functioning differently than usual or if you have questions on features in FDMS 4, please call or submit a help desk ticket. Flagged Documents Announcements Tips and Tricks FDMS Admin Module Version 1.0 was deployed in April 2016. Version 1.0

Self-Registration

The *Password and Secret Questions* sections were removed from the *Self-Registration* form. Users will be prompted to set these values after the account is approved by an Agency Administrator.

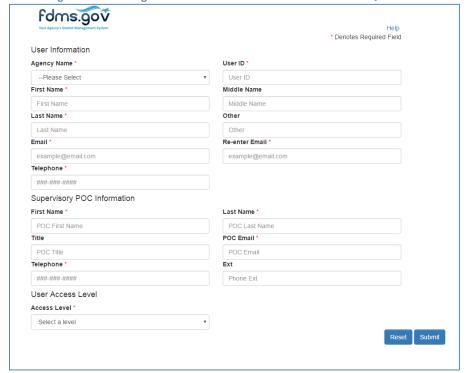


Figure 21 – Self-Registration Screen without Password or Secret Questions



User Accounts

fdms.gov

Activating New Account

Users receive an Activation email after an Agency Administrator approves the account. Clicking on the *Activation* link in the email directs users to FDMS where they are prompted to enter a Password and Secret Questions/Answers.

Figure 22 - Set Password Screen



Figure 23 – Select Secret Questions Screen

After these steps are completed, the account is activated and the user is taken to a confirmation page where a link will take them to FDMS 4 login screen.

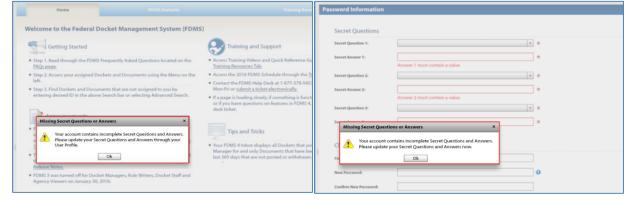
NOTE: If an Agency Administrator rejects the requested account, the requestor receives an email informing them about the rejection and the user account is not created in FDMS.

Secret Questions and Answers

If a user is missing any Secret Questions or Answers, a reminder pop-up will display upon login. The user can close the pop-up and either go to the *Profile Menu* to update the missing required information, or proceed to other actions within the application. Users navigating to the *Profile Menu* will also receive the reminder pop-up in the *My Password* section.

Figure 24 - Reminder Pop-up Upon Login

Figure 25 - Reminder Pop-up Profile Menu





Additional Enhancements

Accessibility

- Multiple accessibility enhancements made to the login page labels and color contrast as a result of a government wide accessibility review.
- Tabbing functionality added to the Standard and Records Reports to allow users to navigate to the Reports options for selection.

Audit Functionality

• Backend technical work was completed in preparation for the Audit functionality scheduled to be implemented in a future release.

Auto Categorization

• The *Refresh* button was removed from the Auto Categorization Tree because the Tree does not refresh any data until a user reruns Auto Categorization.

Bulk Extract

• The Bulk Extract email message states the time period the file is available for download. The message reads "Your file package will be available for 14 days."

Deduplication

- Refreshing the Deduplication Tree after any of the following actions updates the Total Comment and Duplicate Counts:
 - o Deleting a Duplicate or Unique Document
 - Changing the Document Type for a Duplicate or Unique Document
 - Changing the Comment On ID for a Duplicate or Unique Document

Docket POC

• The Globe icon was added to the first two Docket POC values to show the values are viewable on Regulations.gov.

Footer

The text in the Privacy and Security Notice was updated.

Reports

• After clicking on a Docket ID link from an Agency Report in the Summary Module, the left panel displays the Docket Tree and the right panel displays the Docket Details page.

User Profile

- The Reset Password email directs users to FDMS 4 to reset their password rather than FDMS 3.
- The *Mother's Maiden Name* and *Father's Middle Name* Secret Questions were removed from the list of available Secret Questions to align with Security best practices. These Secret Questions were removed from FDMS 3 in a previous release.



Defects Addressed

Auto Categorization

• Depending on the browser window size that a user had displayed, the Attachment label and PDF link in the right hand corner were cut off.

Deduplication

- Users with Read only permissions were able to complete actions within the Deduplication module.
- When a user made either of the following changes to a Near Duplicate or Unique Document, the counts were not updating accordingly in the Deduplication Tree and the Deduplication Details screen:
 - Changed Document to a different Document Type
 - Changed 'Comment On ID'
- Depending on the browser window size that a user had displayed, the Attachment label and PDF link in the right hand corner were cut off.
- Clicking the *Refresh* button after any changes in the Deduplication Tree was not updating the counts on the Deduplication Details.
- The *Deduplication Last Run* Details were displaying in the bottom of the left panel results after a user clicked the application back button.
- The Mark as Unique and Move Back to Pivot buttons were disabled after navigating from the Deduplication Tree to the Document Details and back to the Deduplication Tree.
- The Last Run date and time were displaying with the incorrect format.
- The following items were addressed with the navigation buttons located in the Deduplication Tree:
 - The Next button is disabled when a unique folder has 0 Documents.
 - The *Previous* button is disabled when the first Document of the folder is selected.
 - Both *Previous* and *Next* buttons are disabled when the folder only has 1
 Document.
- Multiple Document Selection screen did not include the *Change Subtype* option.
- A Records Manager did not have access to view the Deduplication Tree.
- Users received an error when trying to update a Pivot folder name with an apostrophe or other select special characters.

Docket POC

Users were unable to uncheck the last POC in the list and check it again.

Docket Tree

• Users were unable to toggle between the Docket Details and Document Details tabs appropriately when clicking on the IDs from the Docket Tree.



Large Docket Tree

• Empty tool tip bubble appeared when user hovered over Document in right panel Document List.

Left Panel Results

• When a user filtered down to 1 result, the user was unable to select that item to view the details in the right panel.

Navigation

• Attempting to navigate from the User Profile to Advanced Search and back to the User Profile was not responding appropriately.

Save Search Results

• When the Save Search result was exported with content files, the content files (that users had permission to view) from external agencies were exported as well.

System Generated Emails

- The last login date in the 30 day reminder email was displayed in the incorrect format. The date displayed as 'dd/mm/yyyy' rather than 'mm/dd/yyyy'.
- Misspelling in 30 Day Lockout Reminder email corrected.

Known Issues

Page Count Sum

• When a user updates the *Page Count* value on a Document with 1 Attachment and then updates the *Page Count* value on the Attachment to the same number, in that order, the *Page Count Sum* is not calculating the two values.